

School of Computing UNIVERSITY OF GEORGIA

## Retrieval-enhanced Knowledge Editing in Language Models for Multi-Hop Question Answering

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**RICE** 

## **1. Background**

**Model Editing (Knowledge Editing)** aims to edit LLMs to answer questions with updated knowledge.



Retrieval Augmented Generation (RAG) seem a good solution. So, what is the *problem*?



## **1. Background- Editing for Multi-hop questions**



## Problem:

Facts beyond one-hop is *hard* to retrieve by current retrieval method (e.g., BM25 or DPR).



#### 2. Retrieval-augmented Knowledge Editing (RAE)

#### Step 1: Multi-hop Knowledge Retrieval





#### 2. Retrieval-augmented Knowledge Editing (RAE)

#### **Step 2: In-context learning for editing**





## **3. MI-Based Retrieval Objective**

#### **Retrieval Objective**

Maximize mutual information between subgraph and questions:

$$\max_{G_S} I(Q; G_S) = H(Q) - H(Q \mid G = G_S)$$

where Q are questions whose answers require editing,  $G_S$  is our retrieval knowledge graph, I denotes mutual information, H denotes entropy.

To simplify the setting, we consider one multi-hop question q at a time, which can be reformulated as:

$$\max_{G_S} \frac{p(q, G = G_S)}{p(G = G_S)} \log_2 \frac{p(q, G = G_S)}{p(G = G_S)}$$



## **4. Next Fact Prediction**

Retrieved facts  $G_S$  are connected triplets:

 $G_S = (h_1, r_1, t_1, \dots, h_n, r_n, t_n)$ 

where h, r, t are the head entity, relation, and tail entity.

#### **Probabilities Estimation**

Probabilities decomposition by Conditional Probability.

$$\frac{p(q,G = G_S)}{p(G = G_S)} = \frac{p(r_1, t_1, h_2, r_2, t_2, \dots, h_n, r_n, t_n | q, h_1)}{p(r_1, t_1, h_2, r_2, t_2, \dots, h_n, r_n, t_n | h_1)} \left[ \frac{p(q, h_1)}{p(h_1)} \right]$$

$$\frac{p(q,h_1)}{p(h_1)}$$
: Fixed value when given a specific question  $q$ 



## **4. Next Fact Prediction**

#### **Probabilities Estimation**

Probabilities decomposition by Conditional Probability. **Prob** 

$$\frac{p(q, G = G_S)}{p(G = G_S)} = \frac{p(r_1, t_1, h_2, r_2, t_2, \dots, h_n, r_n, t_n | q, h_1)}{p(r_1, t_1, h_2, r_2, t_2, \dots, h_n, r_n, t_n | h_1)} \cdot \frac{p(q, h_1)}{p(h_1)}$$

First, **Prob**<sub>A</sub> has a recursive pattern:

$$p(r_1, t_1, h_2, r_2, t_2, \dots, h_n, r_n, t_n | q, h_1)$$

$$p(r_1, t_1, h_2, r_2, t_2, \dots, h_n, r_n, t_n | q, h_1, r_1) \cdot p(r_1 | q, h_1)$$

$$Prob_B$$

We can solve it step by step. But how to estimate  $Prob_B$ ?



## **4. Next Fact Prediction**

#### **Next Fact Prediction**

The probability  $p(r_1 | q, h_1)$  for each candidate relation can be estimated by an auto-regressive language model.

 $\begin{array}{l} \textbf{Prob}_{B} \\ p(r_{1} \mid q, h_{1}) \approx \prod_{i=1}^{|r_{1}|} f_{\phi}(w_{r_{1}}^{(i)} \mid w_{q}^{(1)}, \dots, w_{q}^{|q|}, w_{h_{1}}^{(1)}, \dots, w_{h_{1}}^{(|h_{1}|)}, w_{r_{1}}^{(1)}, \dots, w_{r_{1}}^{(i-1)}) \end{array}$ 





## **5. Redundant Knowledge Pruning**

- Irrelevant facts can mislead the LLM.
- We propose to <u>Prune</u> facts to avoid hallucinations.

#### How?

Use the LLM's **output entropy** as an indicator of uncertainty.

#### **Editing Uncertainty**

We define it as the entropy of the output generated by large language models.

$$H(Y | X = x) = -\sum_{y} p(y | x) \log_2 p(y | x)$$

Lower entropy indicates more confidence.

We use <u>facts with lowest entropy</u> as retrieval results.



#### **5. Redundant knowledge Pruning**



The entropy is *minimized* when the retrieved facts are precisely those required to answer the question.



## 6. Experiments - Settings

To evaluate editing performance, we use three multi-hop edit datasets:

- MQUAKE-CF (3000 cases)
- MQUAKE-T (1868 cases)
- Popular (274 cases)

We compare with six baseline methods, including:

- Model weight updating methods:
  - Fine Tune, ROME, MEMIT
- Auxiliary models methods:

> SEARC

• In-context learning methods:

Mello, DeepEdit



#### **6. Experiments – Editing Performance**

		Editing Methods							
Language Models	Datasets	Fine Tune	ROME	MEMIT	SEARC	Mello	DeepEdit	Subgraph Retriever	RAE(ours)
GPT-2 (1.5B)	M-CF	3.8	1.7	2.3	4.0	0.0	0.0	21.9	62.8
	M-T	5.8	6.4	1.6	2.7	0.0	0.0	20.3	61.8
	Popular	6.2	4.3	2.9	1.1	0.0	0.0	26.7	47.1
GPT-J (6B)	M-CF	7.7	7.6	8.1	6.8	15.3	9.3	36.2	69.3
	M-T	3.1	4.1	10.6	2.8	36.7	19.6	51.2	63.9
	Popular	6.8	7.5	4.4	1.3	12.8	6.6	45.8	49.6
Falcon (7B)	M-CF	5.6	1.7	2.3	7.9	10.7	10.8	40.1	66.8
	M-T	17.2	7.3	1.6	4.5	51.5	31.7	56.1	61.6
	Popular	2.1	4.0	1.1	3.0	8.1	9.5	43.0	50.0
Vicuna (7B)	M-CF	4.8	8.4	7.6	7.9	10.2	11.4	39.4	67.2
	M-T	23.1	5.0	1.7	4.5	51.7	40.4	58.6	63.2
	Popular	4.0	3.8	2.4	3.0	7.7	8.2	29.5	36.1
Llama2 (chat) (7B)	M-CF	5.4	6.3	3.8	7.9	20.7	11.2	45.7	69.1
	M-T	17.1	8.7	1.7	4.5	49.4	37.9	63.1	66.2
	Popular	5.2	13.8	4.9	3.0	13.5	11.1	41.9	51.4

The multi-hop edited accuracy metrics is reported: if the edited answer appears in the final output, it is a correct edit.



#### 7. Experiments – Multi-hop Fact Retrieval Performance

MQUAKE-CF								
Quest	ion Type	2-h	ops	3-h	ops	4-h	ops	
Category	Retrieval	P@1	P@2	P@1	P@3	P@1	P@4	
Embedding	KG Link	52.7	28.7	18.2	3.7	14.0	0.0	
	QR	62.3	7.7	14.7	0.0	12.3	0.0	
	Mello(Llama2)	84.3	80.0	80.7	42.3	83.3	25.7	
Probability	SR(GPT-2)	77.7	50.3	67.3	25.3	65.0	20.0	
	SR(Llama2)	78.3	55.7	79.7	37.0	69.3	28.7	
	RAE(GPT-2)	83.0	66.3	77.3	41.0	80.3	43.7	
Mutual Information	RAE(GPT-J)	83.0	69.7	81.3	53.7	82.7	54.0	
	RAE(Falcon)	82.3	70.7	72.3	44.3	81.7	47.3	
	RAE(Vicuna)	81.0	66.7	79.3	50.3	85.0	50.0	
	RAE(Llama2)	82.7	69.3	84.0	49.3	82.0	47.0	

We use the metric *Precision@K*, which calculates the proportion of relevant facts within the top *K* results: *Precision@K* = |{relevant facts} $|/K \times 100\%$ , abbreviated as *P@K*.



#### **7. Experiments – Pruning improves editing performance**

Dataset	MQUAKE-CF							
Туре	Strategy	GPT-2	GPT-J	Falcon	Vicuna	Llama2 (chat)		
2-hops	w/o Pruning	63.0	63.7	65.2	63.8	70.1		
	w/ Pruning	73.3	75.5	74.5	73.5	75.8		
	Gain	16.3%↑	18.5%↑	14.3%↑	15.2%↑	8.1%↑		
3-hops	w/o Pruning	43.1	53.8	55.6	55.0	60.3		
	w/ Pruning	53.2	65.4	62.1	62.7	65.8		
	Gain	23.4%↑	21.6%↑	11.7%↑	14.0%↑	9.1%↑		
4-hops	w/o Pruning	49.9	58.8	55.2	61.5	61.6		
	w/ Pruning	61.9	66.9	62.9	65.5	65.8		
	Gain	24.0%↑	13.8%↑	13.9%↑	6.5%↑	6.8%↑		

Pruning achieves an average accuracy improvement of **14.5%** across various language models.



#### **7. Experiments – Performance with batch size**



RAE's accuracy remains **stable** with increasing editing instances, whereas Mello's accuracy significantly **declines** with increasing instances.



#### 7. Experiments – RAE works with proprietary models



RAE achieves **better** editing performance with **lower** inference cost over different proprietary models.



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# Q & A

